

USSN: 09/996,484 Atty. Dkt. No.: 8325-2008

G8-US1

### **PATENT**

#### **CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 1, 2004.

ichelle Hot son

9/1/04

Signature

Date

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Examiner: D. Sullivan

CHOO et al. Group Art Unit: 1636

Serial No.: 09/996,484 | Confirmation No.: 2713

Filing Date: November 28, 2001 Customer No.: 20855

Title: MOLECULAR SWITCHES

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sirs:

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

and 1.5	<i>7</i> 8.
[X]	Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
O	No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No to which the above-identified application claims priority under 35 U.S.C. §120.
[]	Publication(s) listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to application serial no and mailed on

USSN: 09/996,484 Atty. Dkt. No.: 8325-2008 G8-US1

[]	of the	M.P.E.	copy of a non-English publication(s) Pursuant to §609 P., Applicant submits the attached foreign search or eport, which cites such non-English language publication(s).			
[]	public		copy of a non-English publication(s) English language _ (copy enclosed) claims priority from this non-English			
[]			n explanation of non-English publication(s) for which an ation is not available.			
[]			ed is an English translation of non-English publication(s)cited ttached Form PTO/SB/08A.			
[]	Enclo	sed is a	copy of pending patent Application Serial No			
			closure Statement is filed after the period specified in 37 the mailing of:			
	[X]	a final	action under 37 C.F.R. § 1.113;			
	[]	a notic	ce of allowance under 37 C.F.R. § 1.113; or			
	[]	an acti	ion that otherwise closes prosecution in this application.			
In	accordance	e with 3	7 C.F.R. § 1.97(c) also enclosed is:			
	[X]	Fee un	Fee under 37 C.F.R. § 1.17(p) in the amount of \$180.00; or			
	[]	Staten	nent as specified in 37 C.F.R. § 1.97(e):			
		[]	Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or			
			No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.			

USSN: 09/996,484

Atty. Dkt. No.: 8325-2008

G8-US1

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Date: September 1, 2004

Dahna S. Pasternak Registration No. 41,411

ROBINS & PASTERNAK LLP 1731 Embarcadero Road, Suite 230

Palo Alto, CA 94303 Tel.: (650) 493-3400 Fax: (650) 493-3440



USSN: 09/996,484

Atty. Dkt. No.: 8325-2008

G8-US1

**PATENT** 

# CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

l hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 1, 2004.

Date	Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

CHOO et al.

Group Art Unit: 1636

Serial No.: 09/996,484

Confirmation No.: 2713

Filing Date: November 28, 2001

Customer No.: 20855

Title: MOLECULAR SWITCHES

### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sirs:

Enclosed is a Supplemental Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
  [X] In accordance with 37 C.F.R. §1.97(c), also enclosed is:
  [X] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  [] a statement as specified in 37 C.F.R. §1.97(e).
- [] In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- [X] Check in the amount of \$180.00 for the total fee is attached.

USSN: 09/996,484 Atty. Dkt. No.: 8325-2008

G8-US1

[X]	A return	receipt 1	postcard	is also	enclosed
[4]	111000111	TOOUPE	pobloaid	ib aibc	CHOICEC

[] Please charge \$\_\_\_\_ to Deposit Account No. 18-1648 for the total fee. This paper is being submitted in duplicate.

By:

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: September 1, 2004

Dahna S. Pasternak Registration No. 41,411

ROBINS & PASTERNAK LLP 1731 Embarcadero Road, Suite 230 Palo Alto, CA 94303

Tel.: (650) 493-3400 Fax: (650) 493-3440

SE 07	Please type a plus sign (+) inside this box >	+
KKL & JUA	Substitute for form 1449A/PTO	

Sub	stitute for form 14	40 A /DT	0	Complete if Known			
540	strate for form 14	72701 1		Application Number	09/996,484		
IN	<b>FORMATIO</b>	N DI	SCLOSURE	Filing Date	November 28, 2001		
ST	CATEMENT	BY A	APPLICANT	First Named Inventor	CHOO et al.		
~ -				Group Art Unit	1636		
	(use as many s	heets a.	s necessary)	Examiner Name	D. Sullivan		
Sheet	1	of	1	Attorney Docket Number	8325-2008 (G8-US1)		

· · · · · · · · · · · · · · · · · · ·	Cite No.1	U.S. Paten	t Document		Date of Publication of Cited Document MM-DD-YYYY
Examiner Initials*		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	
***************************************	Al	6,015,709	***	Natesan	01-18-2000
	A2	6,046,047		Crabtree et al.	04-04-2000
· · · · · · · · · · · · · · · · · · ·	A3	6,165,787		Crabtree et al.	12-26-2000
	A4	6,326,166	B1	Pomerantz et al.	12-04-2001
	A5	6,479,653	Bl	Natesan et al.	12-12-2002
	A6	6,706,470	B2	Choo et al.	03-16-2004
	A7	2002/0168714	Al	Barbas, Ill et al.	11-14-2002
	A8	2003/0126624	Al	Pomerantz et al.	07-03-2003
	A9	2003/0186841	Al	Barbas, Ill et al.	10-02-2003

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Datastas on Applicant of Cital	Date of Publication				
maas	110.	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document  MM-DD-YYYY	of Cited Document MM-DD-YYYY	T <sup>6</sup>			
			<u> </u>							

Examiner	Cite	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	Т¹
lnitials*	No.1	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1
	C1	Amara et al. "A VERSATILE SYNTHETIC DIMERIZER FOR THE REGULATION OF PROTEIN-PROTEIN INTERACTIONS" PNAS 94:10618-10623 (1997)	
	C2	Beerli et al. "CHEMICALLY REGULATED ZINC FINGER TRANSCRIPTION FACTORS" J. Biol. Chem. 275:32617-32627 (2000)	
	C3	Pollock et al. "DELIVERY OF A STRINGENT DIMERIZER-REGULATED GENE EXPRESSION SYSTEM IN A SINGLE RETROVIRAL VECTOR" PNAS 97:13221-13226 (2000)	

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number.

<sup>&</sup>lt;sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>&</sup>lt;sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>&</sup>lt;sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.